



FEE TRANSMITTAL SHEET (FOR FY 2004)

Complete if Known

Application No. 09/916,164
Filing Date July 25, 2001
First Named Inventor Gundu M. Sabde
Group Art Unit 1763
Examiner Sylvia MacArthur
Atty. Docket Number 500163.05

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee |
|--------------|----------|--------------|----------|-------------------------------------------------|-----|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | <input type="checkbox"/> Utility Filing Fee | |
| 1002 | 340 | 2002 | 170 | <input type="checkbox"/> Design Filing Fee | |
| 1003 | 530 | 2003 | 265 | <input type="checkbox"/> Plant Filing Fee | |
| 1004 | 770 | 2004 | 385 | <input type="checkbox"/> Reissue Filing Fee | |
| 1005 | 160 | 2005 | 80 | <input type="checkbox"/> Provisional Filing Fee | |
| Subtotal (1) | | | | | \$0 |

2. EXTRA CLAIM FEES

| Current Claims | Prior | Extra | Fee | Fee Paid |
|---------------------------|-------|-------|--------|----------|
| Total 46 | - 20 | = 26 | x \$18 | = \$468 |
| Ind. 2 | - 3 | = 0 | x \$ | = \$0 |
| Multiple Dependent Claims | | | x \$ | = \$ |
| Subtotal (2) | | | | \$468 |

| Large Entity | | Small Entity | | Fee Description | Fee |
|--------------|----------|--------------|----------|---------------------------------------------------------|-----|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 | |
| 1203 | 290 | 2203 | 145 | Multiple dependent Claim | |
| 1204 | 86 | 2204 | 43 | Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent | |

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee paid |
|---------------------|----------|--------------|----------|----------------------------------------------------------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - Late filing fee or oath | \$ |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
| 1053 | 130 | 1053 | 130 | Non-English specification | \$ |
| 1812 | 2,520 | 1812 | 2,520 | For Filing a Request for ex parte Reexamination | \$ |
| 1804 | 920 | 1804 | 920 | Requesting publication of SIR prior to Examiner action | \$ |
| 1805 | 1,840 | 1805 | 1,840 | Requesting publication of SIR after Examiner action | \$ |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | \$ |
| 1252 | 420 | 2252 | 210 | Extension for reply within 2 nd month | \$ |
| 1253 | 950 | 2253 | 475 | Extension for reply within 3 rd month | \$ |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within 4 th month | \$ |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within 5 th month | \$ |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | \$ |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | \$ |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | \$ |
| 1814 | 110 | 2814 | 55 | Terminal Disclaimer Fee | \$ |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | \$ |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | \$ |
| 1501 | 1,330 | 2501 | 665 | Utility/Reissue issue fee | \$ |
| 1502 | 480 | 2502 | 240 | Design issue fee | \$ |
| 1503 | 640 | 2503 | 320 | Plant issue fee | \$ |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | \$ |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | \$ |
| 1806 | 180 | 1806 | 180 | Submission of IDS | \$ |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | \$ |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | \$ |
| Other fee (specify) | | | | | \$ |
| Subtotal (3) | | | | | \$0 |

Total Amount of Payment: \$468

Submitted by:

Name: Steven H. Arterberry

Reg. No.: 46,314

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Signature: *Steven H. Arterberry*

Date: 2/18/04



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 18, 2004
Date

T. Miller
Tamra Miller

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--------------|------------------------------------------------------------------------------|----------------------|-----------|
| Appl. No. : | 09/916,164 | Confirmation No. : | 9145 |
| Applicants : | Gundu M. Sabde and Whonchee Lee | | |
| Filed : | July 25, 2001 | Attorney Docket No.: | 500163.05 |
| Art Unit : | 1763 | Customer No. : | 27,076 |
| Examiner : | Sylvia R. MacArthur | | |
| Title : | METHODS AND APPARATUSES FOR PLANARIZING MICROELECTRONIC SUBSTRATE ASSEMBLIES | | |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE UNDER 37 C.F.R. § 1.111

Sir:

Applicants acknowledge receipt of the Office Action dated November 25, 2003.

Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 10 of this paper.

02/27/2004 YPOLITE1 00000045 09916164

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